RJP/JT

ATTORNEY'S DOCKET NO: C01104/7

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frederick M. Morgan, Ihor A. Lys, George G. Mueller,

Kevin J. Dowling and Brian Roberge

Serial No:

10/040,252

Conf. No:

3826

Filed:

October 25, 2001

For:

LIGHT FIXTURES FOR ILLUMINATION OF LIQUIDS

Examiner:

Not Yet Assigned

Art Unit:

2821

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 1000 day of April, 2003.

Commissioner for Patents Washington, D.C. 20231

# STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

ECHNOLOGY CENTER 28 Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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# PART II: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
  - 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Ву:

bseph Teja, Jr., Reg. No. 45,157

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, MA 02210

Telephone (617) 720-3500

Docket No. C01104/70092 Dated: April / 0, 2003

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## ATTORNEY'S DOCKET NO: C01104/70092

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Commissioner for Patents Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

[X] STATEMENT FILED PURSUANT TO THE DUTY OF

DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

PTO FORM 1449 AND REFERENCES CITED

[X] RETURN POST CARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch are respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts

No check is enclosed. If a fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210

Telephone (617) 720-3500

Docket No. C01104/70092 Dated: April \_ X (NDD)



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Remarks:

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